


ACADEMIC STAFF PROFILE

1.1 Personal Profile

1.1.1 Personal Details

<p>Name: Dr. James M. Gatauwa, PhD.</p> <p>Title/Qualification: PhD/Doctor of Philosophy</p> <p>Department: Accounting & Finance</p> <p>Designation/Position: Lecturer</p> <p>Email: jmgatauwa@yahoo.com</p> <p>Contact Address: gatauwa.james@ku.ac.ke</p> <p>Area of Specialization:</p> <ul style="list-style-type: none">- Finance- Accounting <p>Research Interests:</p> <ul style="list-style-type: none">-Private Equity Finance-Real Estate Finance-Public Finance <p>Google Scholar:</p> <p>https://scholar.google.com/citations?user=Dxp6W4EAAAAJ&hl=en</p> <p>ORCID ID: https://orcid.org/0000-0002-2804-5070</p>	
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1.1.2 Academic and Professional Qualifications

- Doctor of Philosophy (**PhD Finance**) – University of Nairobi, 2018.
- Certified BPO Master Trainer – ICOS, KeMU, 2013.
- **ACCA** Part 3, 2011.
- Master of Business Administration (**MBA Finance**) – University of Nairobi, 2008.
- Bachelor of Commerce (**B.Com Accounting**) – University of Nairobi, 2006.

1.1.3 Employment History

- Lecturer at Kenyatta University from Dec. 2018 to date.
- Part-time Lecturer/Full-time Lecturer at KeMU from May 2010 to Nov. 2018.
- Part-time Lecturer at Orbit School of Management from Feb. 2009 to May 2010.

1.1.4 Achievements

- 1.** Holds a Doctorate in Finance from the University of Nairobi – one of the top ranking Universities in Africa and Globally.
- 2.** Has attended and participated in international conferences in Kenya, Ethiopia, and South Africa.
- 3.** Has taught diverse units in Accounting & Finance at undergraduate and postgraduate levels over the past 10 years.
- 4.** Has successfully supervised to completion several Masters' Theses. Currently supervising several Masters' Projects and Theses and a Doctoral Thesis.
- 5.** Has published many papers in refereed journals, student manuals and conference proceedings.
- 6.** Has participated in several administrative responsibilities at the departmental level.
- 7.** Has participated actively in Trainings & Consultancies in; Business Process Outsourcing, Data Analysis, Financial Reporting among others.
- 8.** Has been involved in course curriculum development such as Diploma and Certificate in Banking.
- 9.** Has actively participated and led in course curriculum review in MSc Finance & Investments. Also has co-ordinated the harmonization and editing of course outlines in Accounting & Finance course units.
- 10.** Has chaired Masters' proposal, seminar and final theses presentations. Also has participated in examining Masters' and Doctoral Proposals/Theses.
- 11.** Has developed distance learning manuals for students in Accounting & Finance.
- 12.** Has participated as a Reviewer of Journal Articles in; *International Journal of Professional Practice (IJPP)*, *Pan-African Journal of Business & Management (PAJBM)* and *African Review of Economics & Finance (AREF) Journal*.

1.2 Publications

1.2.1 Referred Journals [SELECTED]

1. **GATAUWA, J.M. (2014).** A Survey of Private Equity Investments in Kenya. *European Journal of Business and Management*, 6(3), 15 – 20.
2. **GATAUWA, J.M. (2014).** The 2008 Global Economic Crisis and Public Expenditure: A Critical Review of the Literature. *Advances in Management and Applied Economics*, 4(2), 131 – 145.
3. **GATAUWA, J.M. & MWITHIGA, A.S. (2014).** Private Equity and Economic Growth: A Critical Review of the Literature. *European Journal of Business and Innovation Research*, 2(3), 1 – 10.
4. **GATAUWA, J.M. & MURUNGI, M. (2015).** Infrastructure Development and Real Estate Prices in Meru, Kenya. *Research Journal of Finance and Accounting*, 6(8), 212 – 221.
5. **GATAUWA, J.M., KAIJAGE, E.S., SIFUNJO, E.K., & KIRITI-NGANGA, T.W. (2017).** Fiscal policy stance and public expenditure in Kenya. *The Pan-African Journal of Business and Management*, 1(2), 61 – 80.
6. **GATAUWA, J.M., KAIJAGE, E.S., SIFUNJO, E.K., & KIRITI-NGANGA, T.W. (2017).** The intervening influence of economic growth on fiscal policy stance and public expenditure in Kenya. *The Pan-African Journal of Business and Management*, 1(2), 21 – 27.

1.2.2 Conference/Workshops/Seminar Papers

1.2.2.1 Conference Papers

7. **GATAUWA, J.M., KAIJAGE, E.S., SIFUNJO, E.K., & KIRITI-NGANGA, T.W. (2018).** Fiscal policy stance, economic growth, selected macroeconomic factors and public expenditure in Kenya. *African Review of Economics & Finance Conference Paper* presented at **Wits Business School, Johannesburg, South Africa** on 22nd – 23rd August 2018.
8. **GATAUWA, J.M., KAIJAGE, E.S., SIFUNJO, E.K., & KIRITI-NGANGA, T.W. (2019).** The intervening influence of selected macroeconomic factors on fiscal policy stance and public expenditure in Kenya. *International Business Research & Industrial Conference Paper* presented at **North Coast Beach Hotel, Mombasa, Kenya** on 20th – 21st June 2019.

1.2.2.2 Workshops Papers

9. **GATAUWA, J.M. (2013).** The effects of the 2008 Global financial crisis and other factors on expenditure in Kenya. *The African Accounting & Finance Association and Chartered Institute of Management Accountants (CIMA) UK, Research Development Workshop Paper in Accounting and Finance* presented at Strathmore University, Nairobi on 9th August 2013.

1.2.3 Other Publications

10. GATAUWA, J.M. (2012). *Intermediate Accounting I: Distance Learning Manual*. KeMU.
11. GATAUWA, J.M. (2012). *Intermediate Accounting II: Distance Learning Manual*. KeMU.
12. GATAUWA, J.M. (2012). *International Finance: Study Notes for Distance Learners*. KeMU.

1.3 Research

1. Kenya's terms of trade within the EAC economic trading bloc (In preparation)

1.4 Funded Projects

1. Private equity financing in the private sector (To be submitted)

1.5 Conference/Workshops/Seminars Attended

1.5.1 Conferences Attended

1. The International Business Research and Industrial Conference (**IBRIC**) on the theme "**Emerging Trends in Business Management for Global Sustainable Development**" held at the Kenyatta University North Coast Beach Hotel, Mombasa, Kenya on 20th to 21st June 2019.
2. The African Review of Economics and Finance (**AREF**) Conference on the theme "**Growth and Inequality in Africa**" held at Wits Business School, The University of Witwatersrand, **Johannesburg, South Africa** on 22nd to 23rd August 2018.
3. The Africa Arise International Conference on the theme "**Embracing our Destiny**" held at Beza Ministries in **Addis Ababa, Ethiopia** on 29th to 30th January 2015.
4. The Africa Arise International Conference on the theme "**Jubilee to Destiny**" held at Beza Ministries in **Addis Ababa, Ethiopia** on 23rd to 27th January 2013.
5. The 2nd African International Business and Management (**AIBUMA**) University of Nairobi Conference on the theme "**Building Synergies for Better Performance**" held at the K.I.C.C. Nairobi, Kenya on 25th and 26th August 2011.

1.5.2 Workshops Attended

1. Kenya Methodist University Workshop on "**Curriculum Review**", held at Kenya Methodist University, Nairobi on 14th November 2018.
2. Quality Assurance Faculty Workshop on "**Formulation and Alignment of Learning Outcomes**", held at USIU–Africa, Nairobi on 12th February 2016.
3. Kenya Methodist University Workshop on "**Transformational Teaching in Higher Education**", held at Kenya Methodist University, Nairobi on 21st January 2015.
4. The African Accounting & Finance Association and Chartered Institute of Management Accountants (CIMA) UK, **Research Development Workshop in Accounting and Finance** held at Strathmore University, Nairobi on 11th April 2014.
5. The 1st Kenya Methodist University **Postgraduate Data Analysis Workshop** held at Kenya Methodist University, Nairobi on 26th to 28th February 2014.

6. Kenya Methodist University/IUPS Professional Development Workshop on “**Student Centered Learning in Higher Education**” held at Kenya Methodist University and led by staff from York St. John University, England from 26th to 27th November 2013.
7. The African Accounting & Finance Association and Chartered Institute of Management Accountants (CIMA) UK, **Research Development Workshop in Accounting and Finance** held at Strathmore University, Nairobi on 9th August 2013.
8. KeMU Workshop on “**Student Centered Learning**”, held at Kenya Methodist University, Nairobi on 11th June 2013.
9. Kenya Methodist University Workshop on “**Information Search and Retrieval Skills**”, held at Kenya Methodist University, Nairobi on 25th to 26th October 2012.

1.5.3 Seminars Attended

1. Kenyatta University Seminar on “**Guidelines and Procedures for Postgraduate Projects/Thesis Supervision**”, held at Graduate School, Postgraduate Hall 8, on 18th March 2019.
2. USIU-Africa Seminar on “**Harnessing Artificial Intelligence for Global Economic Development**”, held at the Chandaria School of Business, USIU-Africa, Nairobi on 7th February 2019.
3. Association for Faculty Enrichment in Learning and Teaching (AFELT) Seminar on “**Systemic Change in Higher Education: Raising a Generation of Change Movers**”, held at Daystar University, Nairobi on 3rd March 2018.
4. ACCA Foundations in Accountancy Launch on “**The Building Blocks of Finance**”, held at The Intercontinental Hotel, Nairobi on 8th September 2011.
5. ACCA Seminar covering Business Issues such as **B.P.O., The Global Financial Recession and its effects on the Kenyan Economy, The Role of the Accountant During the Current Economic Condition, Growth in Business and Global Expansion** held at Strathmore University, Nairobi on 21st March 2009.

1.5.4 Symposiums Attended

1. Innovation Research Symposium on “**Innovation in Support of Kenya’s Big Four Agenda**”, held at the University of Nairobi, Kenya on 8th March 2019.
2. Innovation Research Symposium on “**Innovation for a Better Tomorrow**”, held at the University of Nairobi, Kenya on 6th March 2018.

1.5.5 Trainings Attended – (SELECTED)

1. **Sensitization Training on Updating of Staff Profiles and Websites** for the School of Business held at K.U. Graduate School Staff Lab Room 16, Kenyatta University, on 6th March 2019.
2. **E-Learning Training for Lecturers** on using *Kusoma Platform* held at E-Learning Lab 5, Science Complex Building, Kenyatta University, on 14th March 2019.
3. **Induction Training on Citizen Service Delivery Charter, Customer Care, Public Relations and University Policies** for new staff held at BSSC, Kenyatta University, on 27th and 28th June 2019.

1.6 Supervision of Postgraduate Students

1.6.1 Masters

A) MBA/MSc Theses Supervision Completed – **SELECTED.**

	NAME	M.Sc./MBA THESIS TITLE	STATUS
1.	Nancy Mutekwa MFI-3-3071-2/2014	Analysis of the factors affecting security trading in investment banks in Kenya.	Completed
2.	Milkah Karera BUS-3-2971-2/2014	Effects of fraud prevention strategies on financial performance of deposit taking SACCOs in Nairobi.	Completed
3.	Folem Romeo MFI-3-3913-3/2015	The effect of risk management on financial stability of commercial banks listed at NSE.	Completed
4.	Dennis Omenda MFI-3-3505-3/2015	Corporate restructuring and financial performance in insurance companies in Kenya.	Completed
5.	Benjamin Mule MFI-3-2526-2/2015	The influence of PPP on infrastructure development in public universities in Kenya.	Completed
6.	Sheilla Wafula MFI-3-3548-3/2015	Effects of government bailouts on firm performance in Kenya.	Completed
7.	Joyce Ndirangu MFI-3-2919-2/2011	Analysis of factors affecting Foreign Direct Investments in Kenya.	Completed
8.	Dennis Simiyu BUS-3-6681-2/2011	Factors influencing the adoption of electronic banking in Kenya: A case of commercial banks in Nairobi.	Completed
9.	Lilian Githae BUS-3-3520-3/2011	An analysis of factors affecting agency banking in Kenya: A case of banks in Narok County.	Completed
10.	Rose Kamau MFI-3-3811-3/2016	Operational risk management and financial performance: A case of Tier two and three banks.	Completed

B) MBA Project Supervision – In Progress

	Name of Student	MBA Project Title	Status
1.	Kenneth Koome D53/OL/EMB/32243/2017	Bank characteristics and non-performing loans in commercial banks in Kenya.	Proposal Stage
2.	Wincate Wangari D53/PT/EMB/39073/2016	Influence of mobile money services on the growth of financial institutions in Kenya.	Proposal Stage
3.	Morris Mbaka D53/OL/EMB/32223/2017	Dividend policy and financial performance in SACCOs in Kenya.	Proposal Stage
4.	Catherine Chepkurui D53/39546/2016	Effects of firm factors on mortgage performance of mortgages in NSE listed commercial banks.	Proposal Stage
5.	Joyce Asibitar D53/OL/CTY/32534/2016	Role of internal controls on the performance of selected government departments	Proposal Stage
6.	Moses Mutunga D53/CTY/PT/38562/2017	Firm characteristics and financial performance of SACCOs in Nairobi City County.	Proposal Stage
7.	Jeniffer Maina D53/OL/NYI/32382/2016	Effects of CBK prudential regulations on financial performance of commercial banks in Kenya.	Proposal Stage
8.	Mercy Chebet D53/CTY/PT/38394/2018	The effect of capital and loan characteristics on bank failure in commercial banks in Kenya.	Proposal Stage
9.	Lucy Mbira D53/CTY/PT/24781/2013	Internal controls and financial performance of commercial state corporations in Kenya.	Proposal Stage

C) MSc Thesis Supervision

	Name of Student	MSc Thesis Title	Status
1.	Daniel O. Momanyi D58/CTY/PT/33349/2015	Lending rates regulation, financial technology and credit performance of regulated SACCOs in Kenya.	Proposal Stage
2.	Sophia M. Omwamba D58/CTY/PT/33326/2015	Market risk and financial performance of mutual funds in Kenya.	Proposal Stage

1.6.2 PhD

	Name of Student	PhD Thesis Title	Status
1.	Alex Mwanthi D86/CTY/38528/2016	Corporate governance and efficiency of Deposit Taking SACCOs in Kenya.	Proposal Stage

1.7 Professional Assignments/Consultancy

1. Undertaking Financial Reporting for a few start-up companies from 2017 to date.
2. Involved in Business Process Outsourcing Training in Accounting & Finance in 2014/2015.
3. Involved in Quarterly ifo World Economic Surveys for *ifo Center for Macroeconomics and Surveys* as from 2018 to date.
4. A Journal Reviewer for *IJPP Journal*, *AREF Journal* and *PAJBM Journal* from 2018 to date.

1.8 Community Service and Other Engagements

1. Member of a Local Neighbourhood Security Committee
2. Member of an Investment Welfare Development Group
3. Philanthropic Activities such as; Book Donations, Fund Raising, etc.

1.9 Affiliation of Professional Bodies

INSTITUTE OF ECONOMIC AFFAIRS (I.E.A. Kenya)

UNIVERSITY OF NAIROBI ALUMNI ASSOCIATION (UONAA)